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| APPLICATION NO.  | FILING DATE                           | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|--|---------------------------------------|----------------------|---------------------|------------------|--|
| 10/511,903   | 10/20/2004                            | Junji Yoshida        | 2004_1622A          | 4793             |  |
| 513<br>WENDEROT  | 7590 07/14/200<br>H, LIND & PONACK, 1 | EXAM                 | EXAMINER            |                  |  |
| 1030 15th Street, N.W.,<br>Suite 400 East<br>Washington, DC 20005-1503 |                                       |                      | PHAM                | PHAM, LUU T      |  |
|  |                                       |                      | ART UNIT            | PAPER NUMBER     |  |
|  |                                       | 2437                 |                     |                  |  |
|  |                                       |                      |                     |                  |  |
|  |                                       |                      | MAIL DATE           | DELIVERY MODE    |  |
|  |                                       |                      | 07/14/2009          | PAPER            |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s)   |  |  |
|-----------------|----------------|--|--|
| 10/511,903      | YOSHIDA ET AL. |  |  |
| Examiner        | Art Unit       |  |  |
| LUU PHAM        | 2437           |  |  |

|   | LUU PHAM   | 2437  |  |  |  |  |
|---|--|---|--|--|--|--|
| The MAILING DATE of this communication appe   | ears on the cover sheet with the   | correspondence add  | ress                                     |  |  |  |
| THE REPLY FILED 30 June 2009 FAILS TO PLACE THIS APP  | PLICATION IN CONDITION FOR A   | LLOWANCE.   |  |  |  |  |
| <ol> <li>N The reply was filed after a final rejection, but prior to or on<br/>application, applicant must timely file one of the following<br/>application in condition for allowance; (2) a Notice of App<br/>for Continued Examination (RCE) in compliance with 37 (<br/>periods:</li> </ol>   | replies: (1) an amendment, affidav<br>eal (with appeal fee) in compliance  | it, or other evidence, w<br>with 37 CFR 41.31; or           | hich places the<br>(3) a Request         |  |  |  |
| The period for reply expires 3 months from the mailing date   | of the final rejection   |   |  |  |  |  |
| <ul> <li>The period for reply expires on: (1) the mailing date of this A<br/>no event, however, will the statutory period for reply expire I</li> </ul>   | The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.<br>Examiner Note: If box 1 is cheeded, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO |   |  |  |  |  |
| MONTHS OF THE FINAL REJECTION. See MPEP 706.07(   | n.   |   |  |  |  |  |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date<br>have been filled is the date for purposes of determining the period of ex<br>under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the<br>set forth in (b) above, if checked. Any reply received by the Office later<br>may reduce any earned patient term adjustment. See 37 CFR 1.704(b)<br>NOTICE OF APPEAL. | tension and the corresponding amount<br>shortened statutory period for reply orig<br>than three months after the mailing da  | of the fee. The appropria<br>inally set in the final Office | ate extension fee<br>e action; or (2) as |  |  |  |
| 2. The Notice of Appeal was filed on A brief in comp  | liance with 37 CFR 41 37 must be   | filed within two months                                     | s of the date of                         |  |  |  |
| filing the Notice of Appeal (37 CFR 41.37(a)), or any exte<br>Notice of Appeal has been filed, any reply must be filed w  | nsion thereof (37 CFR 41.37(e)), to  | avoid dismissal of the                                      |  |  |  |  |
| AMENDMENTS  |  |   |  |  |  |  |
| <ol> <li>The proposed amendment(s) filed after a final rejection,</li> <li>(a) They raise new issues that would require further co</li> </ol>   |  |   | cause                                    |  |  |  |
| (b) They raise the issue of new matter (see NOTE belo   |  | i L below),   |  |  |  |  |
| (c) They are not deemed to place the application in bet appeal; and/or  |  | ducing or simplifying t                                     | ne issues for                            |  |  |  |
| (d) ☐ They present additional claims without canceling a  | corresponding number of finally rej  | ected claims.   |  |  |  |  |
| NOTE: See Continuation Sheet. (See 37 CFR 1.1   | 16 and 41.33(a)).  |   |  |  |  |  |
| <ol> <li>The amendments are not in compliance with 37 CFR 1.1.</li> </ol>   |  | mpliant Amendment (I  | PTOL-324).                               |  |  |  |
| <ol><li>Applicant's reply has overcome the following rejection(s)</li></ol>   |  |   |  |  |  |  |
| <ol> <li>Newly proposed or amended claim(s) would be all<br/>non-allowable claim(s).</li> </ol>   |  | •   | _  |  |  |  |
| 7. X For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pror The status of the claim(s) is (or will be) as follows: Claim(s) allowed:   |  | Il be entered and an e                                      | xplanation of                            |  |  |  |
| Claim(s) objected to: Claim(s) rejected: <u>13-15</u> . Claim(s) withdrawn from consideration:  |  |   |  |  |  |  |
| AFFIDAVIT OR OTHER EVIDENCE   |  |   |  |  |  |  |
| <ol> <li>The affidavit or other evidence filed after a final action, but<br/>because applicant failed to provide a showing of good and<br/>was not earlier presented. See 37 CFR 1.116(e).</li> </ol>   |  |   |  |  |  |  |
| <ol> <li>The affidavit or other evidence filed after the date of filing<br/>entered because the affidavit or other evidence failed to o<br/>showing a good and sufficient reasons why it is necessar</li> </ol>   | overcome <u>all</u> rejections under appe<br>y and was not earlier presented. S  | al and/or appellant fail<br>ee 37 CFR 41.33(d)(1            | s to provide a<br>).                     |  |  |  |
| 10. ☐ The affidavit or other evidence is entered. An explanatio<br>REQUEST FOR RECONSIDERATION/OTHER  | n of the status of the claims after e  | ntry is below or attach                                     | ed.                                      |  |  |  |
| <ol> <li>The request for reconsideration has been considered but</li> </ol>   | t does NOT place the application is  | n condition for allowan                                     | ce because:                              |  |  |  |
| 12.  Note the attached Information <i>Disclosure Statement</i> (s).  13.  Other:  | (PTO/SB/08) Paper No(s).   |   |  |  |  |  |
| /Emmanuel L. Moise/   | /Luu Pham/   |   |  |  |  |  |

U.S. Patent and Trademark Office

Supervisory Patent Examiner, Art Unit 2437

Examiner, Art Unit 2437

## Continuation of 3. NOTE:

Applicant's amendments to indepdent claims 13 include subject matter not previously set forth including but not limited to 'the communication apparatus being a client apparatus that receives a service from the application server after the validity of the application server is verified; 'and 'the (N+1)th download server outputs the (N+1)th CA information stored in the CA information storage unit to the communication apparatus that is the client apparatus that receives the service from the application server after the validity of the application server is verified.' In light of these amendments, further consideration and search are required for examination.